SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| BRUDNICKI GREG M | 2. Date of Event Requiring Statem Month/Day/Year) 02/14/2018 | ent 🚺 | 3. Issuer Name and Ticker or Trading Symbol <u>CARRIAGE SERVICES INC</u> [CSV] | | | | |
|--|---|--------------------|--|--|-----------------------------------|--|--|
| (Last) (First) (Middle) 322 S. BONITA | | | 4. Relationship of Reporting Perso (Check all applicable) Director | 10% Owne | er (| 5. If Amendment, Da Month/Day/Year) | ate of Original Filed |
| (Street) PANAMA CITY FL 32401 | | | Officer (give title X below) Board Adviso | Other (spe below) Dr | · [0 | Applicable Line) X Form filed b | /Group Filing (Check y One Reporting Person y More than One erson |
| (City) (State) (Zip) | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | |
| 1. Title of Security (Instr. 4) | | | eneficially Owned (Instr. 4) | | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| Common Stock | | | 25,000 | D | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) 2. Date Exerci Expiration Da (Month/Day/You) | | te | 3. Title and Amount of Securities Underlying Derivative Security (Inst | | 4. Convers or Exerc | ise Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Explanation of Responses: | | Expiration Date | Title | Amount or Number of Shares | Price of Derivativ Security | Direct (D) or Indirect (I) (Instr. 5) | |

Remarks:

<u>\s\ Greg M. Brudnicki</u>

08/03/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.