SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	er: 3235-0287						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Brink Carl Benjamin					2. Issuer Name and Ticker or Trading Symbol <u>CARRIAGE SERVICES INC</u> [ CSV ]						(Che	eck all applic Director	ationship of Reporting k all applicable) Director Officer (give title		Person(s) to Issue 10% Own Other (sp	
(Last) (First) (Middle) 3040 POST OAK BLVD.					3. Date of Earliest Transaction (Month/Day/Year) 02/23/2022							below)		below) Financial Officer		
SUITE 300					4. If Amondment, Date of Original Filed (Month/Der/March)						6.10	dividual or 1	aint/Crown	Filing (C	hook Appl	iaabla
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)						Line	Individual or Joint/Group Filing (Check Applicable e)				
HOUSTO	N TX	I TX 77056							K Form fi	Form filed by One Reporting Person						
		,	/030									Form filed by More than One Reporting Person				ing
(City)	(Sta	ite) (2	Zip)													
		Tab	le I - Non-D	Derivati	ive Sec	urities Acc	juired,	Disp	osed o	f, or Bei	eficiall	y Owned				
Date			. Transactio Date Month/Day/	Execution Date,		Transaction Disposed Code (Instr. 5)		ities Acquired (A) o d Of (D) (Instr. 3, 4 a		5. Amour Securitie Beneficia Owned F Reported	s ally ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amount	(A) or (D)	Price	Transacti (Instr. 3 a	ion(s)			
Common Stock												28,114		D		
Common Stock												4,695		I S		Spouse
		Т				rities Acqu , warrants,						Owned		·		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	n Date, Transacti Code (Ins		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3. 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)			). wnership orm: irect (D) r Indirect ) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## \$20.06 Option

Stock

Options

Award

Stock

Stock

Options Stock

Options

Stock

Options

Performance

Explanation of Responses:

\$49.48

(2)

\$34 79

\$25.43

\$26.54

02/23/2022

1. Stock Options granted on 2/23/2022 pursuant to the Carriage Services, Inc. 2017 Omnibus Incentive Plan that will vest in equal increments each year over the next seven years. These options expire on 2/23/2032.

2. Represents performance awards, payable in shares on December 31, 2024 granted under the Carriage Services, Inc. 2017 Omnibus Incentive Plan. The award will vest (if at all) on December 31, 2024 provided that the Company's common stock reaches one of five pre-determined growth targets for a sustained period beginning on the grant date of May 19, 2020 and ending on December 31, 2024.

Date

Exercisable

(1)

(2)

(3)

(4)

(5)

(6)

(D)

v

Code

A

(A)

40,000

Expiration Date

02/23/2032

12/31/2024

02/17/2031

02/14/2028

03/21/2027

02/23/2026

Title

Common

Stock

Commor

Stock

Commor

Stock

Commor Stock

Commor

Stock

Commo

Stock

3. Stock Options granted on 2/17/2021 pursuant to the Carriage Services, Inc. 2017 Omnibus Incentive Plan which vested 20% on 2/17/2022 and will vest in equal 20% increments each year over the next four years. These options expire on 2/17/2031.

4. Stock Options granted pursuant to the Carriage Services, Inc. 2017 Omnibus Plan which vested 1/5 on 2/14/2019, 1/5 on 2/14/2020, 1/5 on 2/14/2021, 1/5 on 2/14/2022 and 1/5 will vest on 2/14/2023. The options expire on 2/14/2028.

5. Stock Options granted pursuant to the Carriage Services, Inc. Second Amended and Restated 2006 Long-Term Incentive Plan which vested 1/5 on 3/21/2018, 1/5 on 3/21/2019, 1/5 on 3/21/2020, 1/5 on 3/21/2021 and 1/5 will vest on 3/21/2022. These stock options expire on 3/21/2027.

6. Stock Options granted pursuant to the Carriage Services, Inc. Second Amended and Restated 2006 Long-Term Incentive Plan which vested 1/5 each year on 2/23/2017, 2/23/2018, 2/23/2019, 2/23/2020 and 2/23/2021. These stock options expire on 2/23/2026.

Remarks:

## /s/ Carl B. Brink

\*\* Signature of Reporting Person

02/25/2022 Date

(Instr. 4)

40,000

56,190

50.000

15,000

34,900

10.000

D

D

D

D

D

D

Amount or Number of Shares

40,000

56,190

50,000

15,000

34,900

10,000

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.