SEC Form 4

Common Stock

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Quezada Carlos R.				er Name and Ticker RIAGE SERV					tionship of Reporting all applicable) Director Officer (give title	10% 0			
(Last) 3040 POST OA SUITE 300	(First) K BLVD.	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/23/2022						below) EVP & Chief (below		
			4. If Am						6. Indiv Line)	. Individual or Joint/Group Filing (Check Applicable			
(Street) HOUSTON	ТХ	77056							X	Form filed by One Form filed by Mor Person			
(City)	(State)	(Zip)											
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
D		2. Transaction Date (Month/Day/Year)	2A. Deemed 3. Execution Date, if any (Month/Day/Year) 3. Code (Instr. 8) Disposed Of (D) (Instr. 3, 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					

Code V Amount (p) Price (Instr. 3 and 4) Image: Code V Amount (p) Price (Instr. 3 and 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(-3) (
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numl of Derivati Securiti Acquire (A) or Dispose of (D) (I 3, 4 and	es ed ed nstr.	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options	\$49.48	02/23/2022		Α		50,000		(1)	02/23/2032	Common Stock	50,000	(1)	50,000	D	
Performance Award	(2)							(2)	12/31/2024	Common Stock	56,190		56,190	D	
Stock Options	\$34.79							(3)	02/17/2031	Common Stock	50,000		50,000	D	
Stock Options	\$18.02							(4)	06/25/2030	Common Stock	13,333		13,333	D	

Explanation of Responses:

1. Stock Options granted on 2/23/2022 pursuant to the Carriage Services, Inc. 2017 Omnibus Incentive Plan that will vest in equal increments each year over the next seven years. These options expire on 2/23/2032.

2. Pursuant to the 2017 Omnibus Plan, the target share awards for the eligible employee will vest on December 31, 2024 if the Company's common stock reaches one of five pre-determined growth targets for a sustained period beginning on the grant date of June 25, 2020 and ending on December 31, 2024.

3. Stock Options granted on 2/17/2021 pursuant to the Carriage Services, Inc. 2017 Omnibus Incentive Plan which vested 20% on 2/17/2022 and will vest in equal 20% increments each year over the next four years. These options expire on 2/17/2031.

4. Stock Options granted pursuant to the Carriage Services, Inc. 2017 Omnibus Plan of which 1/3 vested on 6/25/2021 and 1/3 will vest each year on 6/25/2022 and 6/25/2023. 6,667 options were exercised on 9/1/2021. The options expire on 6/25/2030.

Remarks:

<u>/s/ Carlos Quezada</u> ** Signature of Reporting Person 02/25/2022 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.