# SEC Form 4

Instruction 1(b).

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed nursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

|  | < <i>/</i>     |       | or Section 30(h) of the Investment Company Act of 1940                              |       | -  |                   |  |  |  |  |
|--|----------------|-------|---|-------|--|-------------------|--|--|--|--|
| 1. Name and Address of Reporting Person* |                |       | 2. Issuer Name and Ticker or Trading Symbol<br><u>CARRIAGE SERVICES INC</u> [ CSV ] |       | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                   |  |  |  |  |
| PAYNE M                                  | <u>ELVIN C</u> |       |   | X     | Director   | 10% Owner         |  |  |  |  |
| ·  |                |       | —   | - x   | Officer (give title  | Other (specify    |  |  |  |  |
| (Last)                                   |                |       | 3. Date of Earliest Transaction (Month/Day/Year)                                    |       | below)   | below)            |  |  |  |  |
| 3040 POST (                              | DAK BLVD       |       | 12/21/2021  |       | CEO  |                   |  |  |  |  |
| SUITE 300                                |                |       |   |       |  |                   |  |  |  |  |
|  |                |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            |       | lividual or Joint/Group Filing (Check Applicable                           |                   |  |  |  |  |
| (Street)                                 |                |       |   | Line) |  |                   |  |  |  |  |
| HOUSTON                                  | TX             | 77056 |   | X     | Form filed by One R  | eporting Person   |  |  |  |  |
| ,  |                |       | —   |       | Form filed by More the Person  | han One Reporting |  |  |  |  |
| (City)                                   | (State)        | (Zip) |   |       |  |                   |  |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership    |
|---------------------------------|--|---|--------------|---|---|---------------|---------|---|---|--|
|                                 |  |   | Code         | v | Amount  | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)   |
| Common Stock                    | 12/21/2021                                 |   | G            |   | 2,125   | D             | \$60.89 | 1,248,219   | D   |  |
| Common Stock                    | 12/22/2021                                 |   | G            |   | 2,000   | D             | \$63.08 | 1,246,219   | D   |  |
| Common Stock                    |  |   |              |   |   |               |         | 4,164   | Ι   | Melvin<br>C.<br>Payne,<br>Jr. 2016<br>Annuity<br>Trust |
| Common Stock                    |  |   |              |   |   |               |         | 24,354  | Ι   | Spouse   |
| Common Stock                    |  |   |              |   |   |               |         | 4,164   | I   | Karen P.<br>Payne<br>2016<br>Annuity<br>Trust          |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv | r<br>osed<br>)<br>r. 3, 4 | Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-------------|---------------------------|-------------------------------------|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)         | (D)                       | Date<br>Exercisable                 | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

Remarks:



\*\* Signature of Reporting Person Date

12/22/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.